

Notice of Allowability

Application No.

09/629,114

Examiner

Quang N. Nguyen

Applicant(s)

STROHWIG ET AL.

Art Unit

2141

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to the Amendment filed on 05/21/2004.
2. ☒ The allowed claim(s) is/are 1-10.
3. ☒ The drawings filed on 31 July 2000 are accepted by the Examiner.
4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 - a) ☐ All b) ☐ Some* c) ☐ None of the:
 1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
 - * Certified copies not received: _____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.
THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

5. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
 6. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
 - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
 - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date _____.
 - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
7. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

1. ☐ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3. ☒ Information Disclosure Statements (PTO-1449 or PTO/SB/08),
Paper No./Mail Date 10
4. ☐ Examiner's Comment Regarding Requirement for Deposit
of Biological Material
5. ☐ Notice of Informal Patent Application (PTO-152)
6. ☐ Interview Summary (PTO-413),
Paper No./Mail Date _____
7. ☒ Examiner's Amendment/Comment
8. ☐ Examiner's Statement of Reasons for Allowance
9. ☐ Other _____

Examiner's Amendment

1. An Examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it **MUST** be submitted no later than the payment of the issue fee.

2. Pursuant to MPEP 606.01, the title has been changed to read:

-- SYSTEM AND METHOD FOR MANAGING TRANSACTION RECORD DELIVERY USING AN ACKNOWLEDGEMENT-MONITORING PROCESS AND A FAILURE-RECOVERY PROCESS WITH MODIFYING THE PREDEFINED FAULT CONDITION --

3. The following is an examiner's statement of reasons for allowance:

The prior art of record teaches the claimed invention substantially, but it fails to teach or suggest individually or in combination that a method and system for managing a transaction between a first computer system and a second computer system including: ***sending a request*** from the first computer system to the second computer system ***for authorization to access a conditionally-accessible piece of content;*** ***initiating execution of an acknowledgement-monitoring process*** at the first computer system, wherein the acknowledgement-monitoring process being operable to ***(a) detect a second acknowledgement from the second computer system indicating***

that the second computer system received a first acknowledgement from the first computer system; and (b) send a third acknowledgement to the second computer if the second acknowledgement is not received by the first computer within a predefined time period; sending a status indicator from the second computer system to the first computer system, wherein the status indicator including an indication that the request has been granted; receiving the status indicator at the first computer system and releasing the content to a user; sending the first acknowledgement from the first computer system to the second computer system indicating that the content was successfully released to the user; receiving the first acknowledgement at the second computer system; sending the second acknowledgement from the second computer system to the first computer system; receiving the second acknowledgement at the first computer system; terminating execution of the acknowledgement-monitoring process (as set forth in independent claim 1) and initiating a failure-recovery job at the first computer system to automatically send a status signal to the second computer system if the communication between the first and second computer systems exhibits a predefined fault condition having a first definition; and at the second computer system, using the signal to modify predefined fault condition to have a second definition such that the failure-recovery job sends the status signal when the second definition is exhibited as set forth in independent claims 4 and 8. Claims 1-10 are allowed because of the combination of other limitations and the limitation listed above.


4. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Examiner's Amendment."

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Quang N. Nguyen whose telephone number is (703) 305-8190.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's SPE, Rupal Dharia, can be reached at (703) 305-4003. The fax phone number for the organization is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-3800/4700.

Quang N. Nguyen


RUPAL DHARIA
SUPERVISORY PATENT EXAMINER